



Docket No. 42671-0800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David A. Richard

Serial No.: 10/633,972

Filed: August 4, 2003

For: TRANSPARENT PLASTIC OPTICAL  
COMPONENTS AND ABRASION  
RESISTANT POLYMER SUBSTRATES  
AND METHODS FOR MAKING THE  
SAME

Patent Examiner: Not yet assigned

Group Art Unit: Not yet assigned

July 29, 2004

Irvine, California 92614

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

In an attempt to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§ 1.97 and 1.98, applicant wishes to bring to the attention of the U.S. Patent Office the following references:

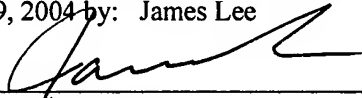
U.S. Patent No. 6,737,105  
U.S. Patent No. 5,840,429  
U.S. Patent No. 5,648,149  
U.S. Patent No. 5,639,538  
U.S. Patent No. 5,506,057  
U.S. Patent No. 5,506,051  
U.S. Patent No. 5,443,912  
U.S. Patent No. 5,277,944  
U.S. Patent No. 5,145,744  
U.S. Patent No. 5,002,820

PCT International Publication No. WO 00/55654  
PCT International Publication No. WO 00/72053

Copies of the foreign references and form PTO-A820 are attached.

If the Examiner believes that a telephone conference would help further the prosecution of this case, he is respectfully requested to contact the undersigned attorney at the listed telephone number.

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patent, PO Box 1450, Alexandria, VA 22313-1450 on July 29, 2004 by: James Lee

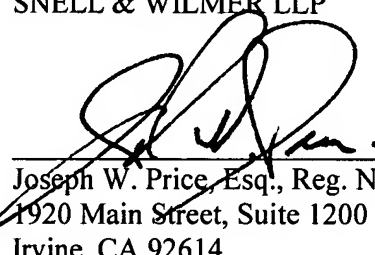


Signature

Date of Signature: July 29, 2004

Very truly yours,

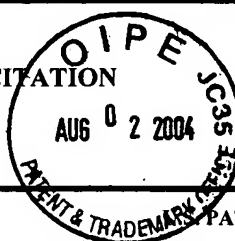
SNELL & WILMER LLP



Joseph W. Price, Esq., Reg. No. 25,124  
1920 Main Street, Suite 1200  
Irvine, CA 92614  
Phone 949/253-4920

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional) <b>42671-0800</b>	Application Number <b>10/633,972</b>
Applicant(s) <b>David A. Richard</b>	
Filing Date <b>08/04/2003</b>	Group Art Unit

## PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,737,105	5/18/2004	Richard			
		5,840,428	11/24/1998	Rukavina et al.			
		5,648,149	7/15/1997	Rukavina et al.			
		5,639,538	6/17/1997	Wong			
		5,506,057	4/9/1996	Olson			
		5,506,051	4/9/1996	Levy-Borochoy et al.			
		5,443,912	8/22/1995	Olson			
		5,277,944	1/11/1994	Holzer et al.			
		5,145,744	9/8/1992	Cartier et al.			
		5,002,820	3/26/1991	Bolton et al.			

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO 00/55654	21.09.2000	PCT			✓	
		WO 00/72053	30.11.2000	PCT				✓

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.